

# Best Available Control Technologies (BACT) Annual Exemption Reporting Year 20\_\_<sup>1</sup>

*(Fill in the 2-digit year; this form is due by March 1st)*

The Best Available Control Technologies (BACT) Annual Exemption application is for small fleets (<2,500 horsepower) requesting the BACT exemption in section 2449.1(b)(3)(C) of the In-Use Off-Road Diesel-Fueled Fleets Regulation (Off-Road Regulation). In accordance with that section, small fleets may request an exemption from BACT for vehicles that meet the conditions listed in Section 2: Vehicle Information (below). This application must be submitted prior to, or with, a fleet's submittal of the annual Responsible Official Affirmation of Reporting [MSCD/ORB-143 (REV 12/17)].

*The numbers after each item correspond to the numbers on the instructions found on page 3.*

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## Section 1: Fleet Information

DOORS Fleet ID Number<sup>2</sup>

Company/Agency Name<sup>3</sup>

Name of Responsible Official<sup>4</sup> or  
Designated Official<sup>5</sup>

Job Title

Business Phone Number of Responsible Official<sup>4</sup>  
or Designated Official<sup>5</sup>

Business Email of Responsible Official<sup>4</sup> or  
Designated Official<sup>5</sup>

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**Section 2: Vehicle Information:** Complete the fields on the next page. Check the box to select the condition that applies to the vehicle:

Condition 1: There is no highest level verified diesel emission control strategy (VDECS) available for the vehicle's engine as of 10 months prior to the compliance date (i.e., there is no Level 2 or 3 VDECS).

Condition 2: There is no Level 2 or 3 VDECS which can be used without impairing the safe operation of the vehicle as demonstrated per section 2449(e)(8) of the Off-Road Regulation.



## Instructions and Definitions

1. **Annual Reporting Year:** The BACT Annual Exemption application must be submitted prior to, or with, a fleet's submittal of the annual Responsible Official Affirmation of Reporting for each compliance year [MSCD/ORB-143 (REV 12/17)]. Fill in the last two digits at the top of the form for the correct reporting year. [Cal. Code Regs., Title 13, § 2449, subd. (g)(2)(A).]
2. **DOORS Fleet ID Number:** This ID number is the number the California Air Resources Board (CARB) assigned to your fleet when you initially created your fleet, via either a paper form or in DOORS. If you do not have this number, please contact the DOORS Hotline; the contact information is provided at the bottom of this sheet.
3. **Company/Agency Name:** This is the legal name of the entity, business, organization, agency, or individual that owns and has possession of each vehicle in the fleet, not a third party (i.e., consultants, distributors, sales representatives, etc.).
4. **Responsible Official:** Depending on what kind of business you have, the Responsible Official is 1) the owner or partner of a sole proprietorship or partnership; or 2) president, secretary, treasurer, vice president, or similar person in charge of a principal business function (or a person who performs similar policy or decision-making functions for a corporation). For a public agency, it means either a principal executive officer or ranking elected official (i.e., the chief executive officer responsible for the overall operations of a principal geographic unit of the agency). [Cal. Code Regs., tit. 13, § 2449, subd. (c)(44).]
5. **Designated Official:** This is someone that the Responsible Official has designated as the person responsible for signing official forms by submitting the Designated Official form MSCD/ORB-178 to CARB. Once on file, the form does not need to be re-submitted except to designate a new person other than the Responsible Official as the person allowed to sign official forms for the fleet.
6. **Equipment Identification Number (EIN):** The EIN is a unique identification number issued by CARB to the fleet owner for each vehicle subject to the Off-Road Regulation in response to the initial reporting and added during annual reporting. [Cal. Code Regs., tit. 13, § 2449, subd. (c)(17), (f).] All vehicles with engines subject to the Off-Road Regulation must be labeled with an EIN. [Cal. Code Regs., tit. 13, § 2449, subd. (f).]
7. **Recordkeeping:** Fleet owners are required to maintain copies of the information reported under section 2449(g), as well as records described in section 2449(h). Fleets must maintain documentation supporting submittal of this BACT Exemption Application form, including but not limited to documentation that the fleet has contacted manufacturers/installers of VDECS and that either 1) there is no VDECS available for the vehicle, or 2) the VDECS cannot be used without impairing the safe operation of the vehicle. [Cal. Code Regs., tit. 13, § 2449, subd. (h).]
8. **Signature:** This is the handwritten, original signature of the Responsible Official or Designated Official. Original signatures on a form that is scanned and delivered to CARB via fax or email will be accepted.

Note: These instructions are provided as a courtesy, but do not supersede or alter the Off-Road Regulation. It is your responsibility to understand and comply with the Off-Road Regulation. If you have questions, please contact the DOORS Hotline at (877) 593-6677 or doors@arb.ca.gov.