Streamlined Certification Process Workshop

New Vehicle/Engine Programs Branch (NVEPB)

November 18, 2014
Outline

• Reasons for Streamlining
• Certification Options
• Traditional Certification Process
• Changes to Notification Process
• Streamlined Certification Process
• Carryover/Partial Carryover applications
• Certification Checklists
• Certification Statistics
Reasons for Certification Streamlining

- Increase in number and categories of certification applications
- Some engine/vehicle designs do not change for multiple model years
- Well defined structure for submitting documents
- Removal of notification process
- Streamlined review process
- Improve efficiency/reduce Executive Order (EO) processing time
Certification Pathways

• Manufacturers will have two separate options to obtain an EO:
  • Traditional Certification Process – Will remain available for all application types. Same process that has been used in the past by manufacturers.
  • Streamlined Certification Process – Will only be applicable to certain application types:
    • Carryover Applications, and
    • Partial Carryover Applications
Traditional Certification Process

Manufacturer Submits application

Application includes all ARB checklist items?

Yes

ARB sends “accepted for filing” notification e-mail to Manufacturer

No

ARB reviews in detail if application meets all requirements?

Yes

ARB issues EO

No

ARB sends “not accepted for filing” notification to request more information

Manufacturer responds in 60 days?

Yes

ARB asks for more information

No

Manufacturer responds in 60 days?

Yes

ARB sends close-out letter to Manufacturer

No
Changes to Notification for Traditional Certification Process

• Within 30 days from application submittal ARB staff sends either:
  
  • E-mail: Accepted for Filing notification
  • Letter: Not Accepted for Filing notification

• ARB staff will change the “Not Accepted for Filing” notification to an e-mail format
Manufacturer Submits application

Does the application meet the Carryover or Partial Carryover criteria?

Yes

Carryover or Partial Carryover?

Carryover

ARB will strive to issue Carryover EO within 30 calendar days

Partial Carryover

ARB will strive to issue Partial Carryover EO within 45 calendar days

Feb.-Oct.?

Yes

ARB will issue Partial Carryover EO within Traditional Certification timelines

No

ARB notifies the manufacturer to rename the workflow and follow the Traditional Certification process

Please avoid submitting Streamlined Applications during peak certification season
A carryover application is identical to the previous model year’s application except for the:

- Model year
- Test Group/Engine/Evaporative family name (typically the first character)
- Manufacturer contact information, and
- Projected sales data

DMS workflow name must begin with “CARRYOVER_” to notify cert. staff.
Partial Carryover Application
(Definition)

A partial carryover application is identical to the previous model year’s application except for:

- Model year,
- Test Group/Engine/Evaporative family name (typically the first character),
- Manufacturer contact information,
- Projected sales data,

- **Model names** *(may add models if the certification emission levels are not changed)*,
- **Part numbers** *(new parts are durable to full useful life and do not impact the certification emission levels)*, and
- **Equipment types.**

*DMS workflow name must begin with “PCARRYOVER_”*
Certification Checklists

- A complete certification application contains all the elements identified in the certification checklists.
- Different checklists for different engine/vehicle categories.
- Checklists are living documents (new regulations/standards/technologies will lead to new checklist items. ARB will notify manufacturers in advance or when change occurs).
- Streamlined applications must include all checklist items + Carryover/Partial Carryover cover letter (signed by manufacturer representative).
Incomplete Streamlined Applications

If the Carryover/Partial Carryover application

- Does not include all checklist items
- Does not meet the specified criteria
- Submitted in a piecemeal fashion (adding documents after application workflow submittal)
- Uses an incorrect DMS naming convention

ARB staff will notify the manufacturer to rename the workflow and follow the Traditional Certification process.

The application will not be sent to the back of the line, but will be treated as a Traditional Certification application.
2013 Calendar Year Application Tracking

- Date of Initial Submittal
- Date of Last Submittal
- EO Signed

Running Changes are not included in this chart.

Month

2013 Calendar Year Average Application Processing Time (NVEPB)

Running Changes are not included in this chart.

Average Processing Time

Calendar Days

- Notification Time
- EO Process Time from Date of Last Submittal
- EO Process Time from Date of Initial Submittal
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