

NOTE: The amendments to this document are shown in underline to indicate additions and ~~strikeout~~ to indicate deletions compared to the test procedures as last amended November 21, 1995.

State of California
AIR RESOURCES BOARD

California Certification and Installation Procedures for Alternative Fuel Retrofit Systems for Motor Vehicles Certified for 1994 through 2003 Model Years and ~~Subsequent Model Years~~ and for 1994 through 2003 ~~all~~ Model Year Motor Vehicle Retrofit Systems Certified for Emission Reduction Credit

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California Certification and Installation Procedures for Alternative Fuel Retrofit Systems for Motor Vehicles Certified for 1994 through 2003 Model Years and ~~Subsequent Model Years~~ and for 1994 through 2003 ~~all~~ Model Year Motor Vehicle Retrofit Systems Certified for Emission Reduction Credit

1. APPLICABILITY

- (a) "Certification and Installation Procedures for Alternative Fuel Retrofit Systems for Motor Vehicles Certified for 1994 through 2003 Model Years and ~~Subsequent Model Years~~ and Retrofit Procedures for Emission Reduction Credit for 1994 through 2003 ~~all~~ Model Years ("these Procedures") apply to alternative fuel retrofit systems designed for installation on gasoline- or diesel-fueled light-, medium-, and heavy-duty motor vehicles for 1994 through 2003 ~~and subsequent~~ model years, and alternative fuel and conventional fuel retrofit systems for emission reduction credits for 1994 through 2003 ~~all~~ model years.
- (b) Only these procedures shall be used to certify a retrofit system for the purpose of generating emission reduction credits. Each retrofit system manufacturer shall certify all engine family systems to be used for generating emission reduction credits regardless of model year (MY) or fuel used.
- (c) Only these Procedures shall be used to certify a retrofit system for installation on a transitional low-emission vehicle ("TLEV"), low-emission vehicle ("LEV"), or ultra-low-emission vehicle ("ULEV") or to certify a retrofit system designed to convert a vehicle to TLEV, LEV, or ULEV emission standards (as defined in Section 1960.1, Title 13, CCR).
- (d) Each manufacturer shall certify a minimum of 15 percent of 1994, 55 percent of 1995, 55 percent of 1996, and 100 percent of 1997 through 2003 ~~and subsequent~~ model year engine family retrofit systems according to the requirements of these Procedures except as provided in paragraph 1. (b). "California Exhaust Emission Standards and Test Procedures for Systems Designed to Convert Motor Vehicles Certified for 1993 and Earlier Model Years to Use Liquefied Petroleum Gas or Natural Gas Fuels," (for certifying liquefied petroleum gas or natural gas retrofit systems) and "California Exhaust Emission Standards and Test Procedures for Systems Designed to Convert Motor Vehicles Certified for 1993 and Earlier Model Years to Use Alcohol or Alcohol/Gasoline Fuels" (for certifying alcohol and alcohol/gasoline retrofit systems) shall be used to certify the remaining percentage of 1994, 1995, and 1996 model year engine family systems and 1993 and prior model year engine family systems, except as provided in paragraph 1. (b) and 1. (e). The percentages shall be determined from the total number of retrofit systems certified and shall be met prior to the end of the next respective calendar year.

- (e) A retrofit system manufacturer may as an option use these Procedures to certify non-credit generating alternative fuel retrofit systems designed for installation on pre-1994 model year gasoline- or diesel-fueled light-, medium-, and heavy-duty motor vehicles, with the following addition: the Executive Officer may order 25,000 mile durability vehicle testing for alternative fuel retrofit systems designed for installation on pre-1994 model year vehicles which include hardware or components other than the fuel conversion system as part of the overall retrofit system.
- (f) A certification for an alternative fuel retrofit system issued pursuant to these Procedures shall have the effect of a certification of an alternative fuel retrofit system pursuant to Health and Safety Code Section-43006. A certification for retrofit equipment utilizing any fuel, issued pursuant to these regulations, shall have the effect of an exemption issued pursuant to Vehicle Code Sections #27156 and 38395.

2. DEFINITIONS

"Alternative fuel" refers to liquefied petroleum gas, natural gas, alcohol and alcohol/gasoline fuels.

An "alternative fuel retrofit system" is a package of fuel, ignition, emission control, and engine components that are modified, removed, or added during the process of modifying a motor vehicle to operate on an alternative fuel. Such systems can be optionally certified to generate credits.

"Conventional fuel" means gasoline or diesel fuel.

"Credit-generating conventional fuel retrofit system" is a retrofit system that is certified to generate credits and that operates exclusively on the fuel for which the engine family was originally certified.

"Credits" refers to mobile source emission reduction credits.

"Drivability" of a vehicle refers to the smooth delivery of power, as demanded by the driver. Typical causes of drivability degradation are rough idling, misfiring, surging, hesitation, or insufficient power. Conversion from gasoline to gaseous fuels usually entails a loss of volumetric efficiency, resulting in some power loss. Normal power loss shall not be considered to be drivability degradation.

"Dual fuel" refers to a retrofit system which utilizes both an alternative fuel and a conventional fuel without further hardware changeover required.

"Installer" refers to a person who installs alternative fuel or credit-generating conventional fuel retrofit systems on motor vehicles.

"Retrofit system" is a package of fuel, ignition, emission control and engine components that are modified, removed, or added during the process of modifying an engine to operate at an emission rate lower than the rate to which the engine family was originally certified.

"Retrofit system manufacturer" or "manufacturer" refers to a person who manufactures or assembles an alternative fuel or credit-generating conventional fuel retrofit system for sale in California and requests or is granted the Executive Order certifying the alternative fuel or credit generating conventional fuel retrofits system.

"Tier 1" refers to the emission standards applicable to 1995 and subsequent model year light-duty vehicles not considered as TLEV's, ULEV'S or LEV'S, and as described in Section 1960.1, Title 13, California Code of Regulations.

For purposes of these Procedures "useful life" is the duration, expressed in miles, of the longest durability period for the new vehicle or engine emission standards to which the vehicle or engine family was certified. (This is typically 50,000 miles for light-duty vehicles. However, as of the 1993 model year, a phase-in of new, more stringent, light-duty standards with 100,000 mile useful life requirements will begin.)

3. GENERAL REQUIREMENTS

(a) Product Specifications:

In addition to all other standards or requirements imposed, the following general requirements shall apply to all alternative fuel or credit-generating conventional fuel retrofit systems to be certified for installation on California-certified gasoline or diesel-fueled motor vehicles:

- (i) Alternative fuel retrofit systems for gaseous fuels shall be equipped with a lock off valve, actuated by an electrical or vacuum signal, preventing delivery of fuel to the carburetor, or fuel injection system, while the engine is shut down.
- (ii) The drivability of a vehicle equipped with a retrofit system shall not be degraded in such a way as to encourage consumer tampering. To verify that the drivability of a retrofitted vehicle is acceptable, the Executive Officer may require that an independent laboratory evaluate drivability. The Executive Officer's determination that drivability must be evaluated shall be based on an engineering evaluation of the retrofit system described in the application for certification or on reports or

observations that retrofit systems similar in design to the system for which certification is sought have caused drivability degradation. The cost of this evaluation shall be borne by the applicant.

- (iii) If the vehicle to be retrofitted was certified with an on-board diagnostic (OBD) system, pursuant to Section 1968 or 1968.1, Title 13, California Code of Regulations (CCR), the proper function of the on-board diagnostic system shall not be impaired as a result of the installation and operation of the alternative fuel or credit-generating conventional fuel retrofit system. This requirement may necessitate modification of the OBD system to prevent it from storing erroneous trouble codes (e.g., storing a code signifying faulty operation of the evaporative canister purge valve because the evaporative emission control system has been removed). All modifications to OBD components, programming or wiring, must be fully specified as parts of the retrofit system. If the retrofit system includes modifications to the OBD system, the applicant must submit an analysis showing that these modifications will not adversely affect OBD performance. Notwithstanding, for 2004 and previous model year vehicles, retrofit system manufacturers may request Executive Officer approval to disable specific on-board diagnostic monitoring strategies for which monitoring may not be reliable with respect to the use of alternative fuels (e.g., oxygen sensor response rate checks). The manufacturer shall submit data and/or an engineering evaluation to justify the request.
- (iv) With the exception of idle speed control and throttle position control, no component or calibration of the fuel system that could affect emission performance shall be adjustable by the system installer or the vehicle's user.

(b) Emission Control Labels:

"California Motor Vehicle Emission Control Label Specifications," incorporated by reference in Title 13, CCR, Section 1965, shall apply to installations of alternative fuel or credit-generating conventional fuel retrofit systems, with the following additions:

- (i) The retrofit system manufacturer shall provide a supplemental Emission Control Information label, which shall be affixed in a permanent manner to each retrofitted vehicle, in a location adjacent to the original Emission Control Information Label. If the supplemental label cannot be placed adjacent to the original label, it shall be placed in a location where it can be seen by a person viewing the original label.

- (ii) The supplemental label shall show the vehicle model year; the Executive Order number certifying the retrofit system; the retrofit system manufacturer's name, address, and telephone number; and shall state that the retrofitted vehicle complies with California emission requirements. If the retrofit system has been certified as being capable of converting the vehicle into a Tier 1, TLEV, LEV, or ULEV, the label shall prominently display the title, "Tier 1 Vehicle," "Transitional Low-Emission Vehicle," "Low-Emission Vehicle," or "Ultra-Low-Emission Vehicle," as appropriate. If the retrofit system has been certified for credit-generation use on a heavy-duty vehicle, the label will state the applicable credit and standards, defined in Section 1956.9.2, Title 13, California Code of Regulations. The label shall also list any original parts that were removed during installation of the retrofit system, as well as any changes in tune-up specifications required for the retrofit system. In addition, the label shall show the installer's name, address, and telephone number; the date on which the retrofit system was installed; and the mileage (retrofitted vehicle odometer reading) and date at which the retrofit system warranties expires. It is not necessary for emission control labels installed with retrofit systems to be machine readable. The supplemental label for an alternate fuel retrofit vehicle shall clearly state that the vehicle has been equipped with an alternative fuel retrofit system designed to allow it to operate on a fuel other than gasoline or diesel and shall identify the fuel(s) that the vehicle is designed to use.
- (iii) The retrofit system manufacturer shall provide a vacuum hose routing diagram for each alternate fuel retrofit system sold, and for any other retrofit that includes changes to the vacuum hose routing. The vacuum hose routing diagram shall be placed underhood in a permanent manner at a visible and accessible location and shall show modifications to the original vacuum system.

(c) Owner's Manuals:

Each retrofit system installed shall include an owner's manual containing at least the following information:

- (i) a brief description of the retrofit system, including major components and their theory of operation;
- (ii) the correct refueling procedure for alternate fuel retrofits;
- (iii) a listing of necessary service and service intervals, as well as tune-up data, which differ from the service requirements specified by the vehicle's or engine's original manufacturer;

- (iv) the name, address, and phone number of the installer, as well as a list of the names, addresses, and phone numbers of the major dealers in California who supply parts for, or service, the retrofit system; and
- (v) warranty information.

(d) **Manufacturer Recordkeeping Requirement:**

Manufacturers of retrofit systems shall maintain a record of the vehicle identification numbers and California license plate numbers of those vehicles on which their product has been installed. As part of this record, manufacturers shall identify the installation date and the certification number of those systems installed on each vehicle and shall identify the vehicles' owners at the time of installation, including the owners' current addresses and phone numbers at the time of installation. The retrofit system manufacturer shall supply a copy of all installation information to the Executive Officer upon request.

(e) **Installer Recordkeeping Requirement:**

Installers of retrofit systems shall maintain a record as specified in paragraph 3(d) and shall provide this information to retrofit system manufacturers upon request.

4. REQUEST FOR CERTIFICATION

- (a) A request for certification of an alternative fuel or credit-generating conventional fuel retrofit system may be submitted by an authorized representative of the retrofit system manufacturer intending to offer the retrofit system for sale or installation in the State of California.
- (b) A separate request shall be required for each model year, even though the emission standards for certifying new vehicles may be the same for consecutive model years. The request shall include all test data and other information required pursuant to these Procedures, except where other provisions of these Procedures allow carry-over or carry-across of test data from an engine family to the engine family (ies) for which certification is sought. Procedures governing carry-over and carry-across are discussed under paragraph 6, "Approval."
- (c) The request for certification shall be submitted in writing, signed by an authorized representative of the retrofit system manufacturer, and shall include the following:
 - (i) Identification and description of the engine families for which the retrofit system to be certified is designed; the emission standards applicable

to those engine families; and if applicable, a statement that the retrofit system is designed [A] to convert conventional vehicles into either TLEVs, LEVs or ULEVs, [B] to convert a TLEV into either an LEV or ULEV, [C] to convert an LEV into a ULEV, [D] to convert 1994 or earlier model year vehicles into Tier 1 Vehicles, or [E] to convert heavy-duty vehicles for emission reduction credit. For [E] include the credit standard(s) proposed for certification from the "Optional Exhaust Emission Standards for Retrofitted Heavy-Duty Engines", as contained in Section 1956.9, Title 13, California Code of Regulations.

- (ii) A complete description of the alternative fuel retrofit system, including details of the carburetor, mixer, regulator, vaporizer, or fuel injection system; the feedback mixture control system (if applicable), part number(s), calibration data, hose routing, specifications for the fuel tank, and pressure regulator; a sample of the emission control label as specified in 3.(b); a sample of the warranty statement as specified in 9(a) and (b); and all necessary modifications to the engine, emission control system, or other parts of the vehicle.
- (iii) Procedures for installing and maintaining the retrofit system, including tune-up specifications and discussion of any special tools or techniques required for proper installation, maintenance, or operation.
- (iv) An agreement to supply the Air Resources Board, within 45 calendar days of the Executive Officer's request, with any one or more of the vehicles used for certification testing, or to provide Air Resources Board personnel with equipment to inspect and test such vehicles at the applicant's facility, if requested by the Executive Officer.
- (v) For retrofit systems being certified for credit-generation, the manufacturer shall provide in writing the name(s) and address-(es) of the fabrication, assembly line(s), and test facility (ies) where the retrofit kit is manufactured and tested.
- (vi) For retrofit systems being certified for credit-generation, the manufacturer shall provide an engineering analysis upon request from the Executive Officer. Such analysis shall describe the detailed operating theory of the retrofit system based on accepted scientific and engineering principles. Final certification will require ARB acceptance and approval of the analysis.
- (vii) For conventional fuel retrofits for credit-generation the manufacturer shall provide a complete description of the major components of the retrofit system and part number(s).

5. TEST PROCEDURES

(a) Description of Vehicle Categories:

For the purposes of these certification Procedures, the motor vehicle fleet is divided into three major categories:

- I. Passenger cars, light-duty trucks, and medium-duty vehicles as defined in "California Exhaust Emission Standards and Test Procedures for 1988 and Subsequent Model Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles" (as incorporated by reference in Section 1960.1, Title 13, CCR), which were certified to an exhaust emission standard based on a chassis-dynamometer test procedure;
- II. Vehicles with gross vehicle weight ratings less than or equal to 14,000 lbs. and not originally certified to a chassis dynamometer-based exhaust emission standard; and
- III. Vehicles with gross vehicle weight ratings greater than 14,000 lbs.

(b) Test Procedures for Vehicles in Category I:

Vehicles in Category I may certify under these provisions, or under the alternate test procedures given in 5(g).

For vehicles in Category I, the emission standards and test procedures set forth in the "California Exhaust Emission Standards and Test Procedures for 1988 and Subsequent Model Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles" also apply to the certification of alternative fuel or credit-generating conventional fuel retrofit systems, with the following exceptions:

- (i) The applicable emission standards shall be at least as stringent as the emission standards applicable to the engine families for which the retrofit systems to be certified are designed. For credit-generation, the applicable emission standards shall be the Tier 1 and LEV program standards. Dual-fuel vehicles must be certified on each of the two fuels. For dual-fuel vehicles certified for credit, the certification standards for the two fuels shall be no more than one tier apart. In addition, vehicles retrofitted to operate on a given alternative fuel shall also be subject to any additional emission standards applicable to new motor vehicles that are designed to operate on the alternative fuel, and that are of the model year and vehicle class for which certification is sought. A maximum of one emission-data vehicle per engine family for which certification is sought shall be required. Where durability testing

is required, a bench-test vehicle may be substituted for a durability vehicle end may also be considered an emission-data vehicle. Prior to the commencement of testing, the choice of durability vehicle or bench-test vehicle, emission-data vehicle(s) and engine(s) must be approved by the Executive Officer as being representative of the range of engine families for which certification is sought.

- (ii) For the purpose of applying the provisions of the "California Exhaust Emission Standards and Test Procedures for 1988 and Subsequent Model Passenger Cars, Light-Duty Trucks, and Medium- Duty Vehicles" to certification testing of alternative fuel or credit-generating conventional fuel retrofit systems, test vehicles equipped with an alternative fuel or credit-generating conventional fuel retrofit system shall be assumed to have zero miles of mileage accumulation at the time that the retrofit system is installed. Mileage may be subsequently accumulated by driving the vehicle on the road, following a typical suburban route, or on a chassis dynamometer using the Automobile Manufacturer's Association mileage accumulation cycle (40 C.F.R., Part 86, Appendix IV, as adopted January 28, 1977).
- (iii) Vehicle mileage accumulation on a durability vehicle or bench aging of retrofit system components shall be conducted to determine deterioration factors. Prior to the commencement of any emission or bench aging, the applicant's test plan must be approved by the Executive Officer. Approval of the test plan shall be contingent upon a demonstration by the applicant that bench aging produces deterioration factors at least as great as durability vehicle testing.
- (iv) Bench aging conducted in lieu of vehicle mileage accumulation shall be conducted for a period of time such that the resulting deterioration of the retrofit system is equivalent to that which would occur during durability vehicle mileage accumulation over a mileage equal to the useful life of the vehicle.
- (v) Vehicle mileage accumulation on a durability vehicle shall be performed in conjunction with emission testing. Before beginning vehicle mileage accumulation of the retrofit system, the system shall be installed on the durability vehicle; the vehicle shall be driven 4,000 ± 100 miles and then tested an alternative fuel retrofit the vehicle shall be tested using the alternative fuel. A dual-fuel retrofit system shall be emission tested using each fuel that it is capable of operating on. At the conclusion of vehicle mileage accumulation, a second emission test or series of tests shall be performed.

Alternatively, if bench aging is used to determine deterioration factors, then bench aging shall be performed in conjunction with emission

testing of a bench-test vehicle. Before beginning bench aging of the retrofit system, it shall be installed on the bench-test vehicle, the vehicle shall be driven for $4,000 \pm 100$ miles, and the vehicle shall then be emission tested. Alternate fuel retrofit shall be tested using the alternative fuel. A dual-fuel retrofit system shall be emission tested using each fuel that it is capable of operating on. After the emission tests are completed, the retrofit system shall be removed from the vehicle and subjected to bench aging. At the conclusion of bench aging, the retrofit system shall be reinstalled on the bench-test vehicle, and a second emission test or series of tests shall be performed.

- (vi) For exhaust emissions of each regulated pollutant measured during the vehicle mileage accumulation or bench-test procedure, a deterioration factor shall be calculated by dividing the emission rate obtained during the second emission test by that obtained during the first. If the resulting quotient is less than one, the deterioration factor shall be assigned a value of one. The deterioration constant for evaporative emissions shall be calculated by subtracting the evaporative emissions found during the first emission test from those found during the second test. If the resulting difference is less than zero, the deterioration constant shall be assigned a value of zero.
- (vii) Choices of vehicle models, engines, and transmissions for use in emission-data vehicles shall be approved by the Executive Officer as being representative of the engine families for which certification is sought, prior to the commencement of testing. Following installation of the retrofit system, the emission-data vehicle shall be driven $4,000 \pm 100$ miles to stabilize emission rates. After the specified mileage has been accumulated, the emission-data vehicles' exhaust and evaporative emissions, where applicable, shall be tested, using the appropriate procedure as set forth in "California Exhaust Emission Standards and Test Procedures for 1988 and Subsequent Model Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles. Dual fuel vehicles shall be emission tested using each fuel that the vehicle is capable of operating on.
- (viii) The deteriorated emissions of emission-data vehicles shall be calculated using the deterioration factors and constants found during vehicle mileage accumulation or bench testing. The useful life exhaust emission values are defined as the product of each emission value at 4,000 miles times the corresponding deterioration factor. For evaporative emissions, the certification emission value is; equal to the sum of the emissions measured at, or extrapolated to 4,000 miles, plus the deterioration constant. The durability vehicle, bench-test vehicle, and all emission-data vehicles shall meet the applicable new vehicle useful life emission standards, as well as all applicable emission

standards for intermediate mileage levels, for the vehicles' model year- and fuel type(s).

(c) Test Procedures for Vehicles in Category II, Not Being Certified for Credit-Generation Purposes:

Vehicles in Category II not being certified for credit may certify under these provisions; or under the alternate test procedures given in 5(g).

For durability, bench-test and emission-data vehicles in Category II, test vehicles shall have accumulated a total mileage greater than 4,000 miles and less than 10,000 miles with the original fuel system, prior to emission testing. If the manufacturer chooses to use the option as described in 1(e) for pre-1994 model year vehicles, then the 10,000 mile limit shall not be applicable. A test vehicle's engine and emission control system shall be equipped and calibrated as certified. The vehicle shall then be tested for exhaust and, if applicable, evaporative emissions using the test procedures set forth in the "California Exhaust Emission Standards and Test Procedures for 1988 and Subsequent Model Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles". The inertia weight setting shall be equal to the average of the vehicle's curb weight and gross vehicle weight rating and road load horsepower based on the frontal area of the vehicle without modifications, as determined in "California Exhaust Emission Standards and Test Procedures for 1988 and Subsequent Model Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles," Section 9.b. The test results shall be defined as the baseline emission rates. After the baseline emission rates have been measured, the retrofit system shall be installed.

- (i) The procedures outlined in paragraphs 5(b)(iii) through 5(b)(vi) shall be used with the following modifications: "useful life" shall equal 120,000 miles for vehicles in Category II; the durability or bench-test vehicle's emission rates of regulated pollutants measured at $4,000 \pm 100$ miles after the installation of the retrofit system shall not exceed 1.10 times the baseline rates; the deteriorated exhaust emissions of regulated pollutants projected to 120,000 miles shall not exceed 1.3 times the baseline emissions; and the deteriorate evaporative emissions of regulated pollutants projected to 120,000 miles shall not exceed the baseline emissions plus 0.5 grams.
- (ii) When the Executive Officer determines that deterioration factors determined in paragraph 5(c) (i) may be carried across or carried over to other engine families in Category II, the representative emission-date vehicles shall be tested as specified in paragraph 5(b) (vii). Emission rates measured at $4,000 \pm 100$ miles after installation of the retrofit system shall not exceed 1.10 times the vehicles baseline rates.

(d) Test Procedures for Vehicles in Category III Not Being Certified for Credit-Generation Purposes:

Vehicles in Category III not being certified for credit may certify under these provisions or under the alternate test procedures given in 5(g).

Applicants requesting certification for retrofit systems for use in Category III vehicles shall submit a test plan, subject to the Executive Officer's approval, to verify that the retrofit system will not cause excess emissions from engine families for which certification is sought. Test vehicles shall have accumulated a total mileage greater than 4,000 miles with the original fuel system prior to emission testing. A test vehicle's engine and emission control system shall be equipped and calibrated as certified. The vehicle shall then be tested for exhaust emissions as specified in the test plan. The test result shall be defined as the baseline emission rate. After the baseline emission rate has been measured, the retrofit system shall be installed. Emission rates shall then be measured at $4,000 \pm 100$ miles after installation of the retrofit system using the test specified in the test plan.

- (i) Emission testing shall be conducted to determine exhaust emission rates of carbon monoxide and the sum of non-methane hydrocarbons plus nitrogen oxides. Emissions shall not exceed 1.10 times the baseline rates.
- (ii) The procedures outlined in paragraphs 5(b) (iii) through 5(b) (vi) shall be used with the following modifications: "useful life" shall equal 180,000 miles for vehicles in Category III; the durability or bench-test vehicle's emission rates of CO, and the sum of non-methane hydrocarbons plus NOx measured at $4,000 \pm 100$ miles shall not exceed 1.10 times the baseline rates; the deteriorated exhaust emissions projected to 180,000 miles shall not exceed 1.3 times the baseline emissions; and, the deteriorated evaporative emissions projected to 180,000 miles shall not exceed baseline emissions plus 0.5 grams. For the purposes of this section, the evaporative baseline emissions shall be estimated by the manufacturer based on good engineering principles and judgment. The manufacturer's test plan shall specify the evaporative baseline emissions estimate and describe how this estimate was derived.
- (iii) The Executive Officer may allow carry-across of durability data from certification bench testing of retrofit systems designed for vehicles in Categories I or II to. Category III retrofits system applications, if the Executive Officer determines that the carry-across durability data will adequately represent the durability performance of the retrofit-it system to be certified.

- (iv) Applicants requesting certification for retrofit systems designed to allow Category III vehicles to operate on an alternative fuel in addition to diesel fuel shall conduct smoke opacity testing on the emission-data vehicle(s) utilizing the peak smoke opacity standards and procedures set forth in "Heavy-Duty Diesel Vehicle Smoke Opacity Test Procedure," as incorporated by reference in Title 13, CCR, Section 2182. Smoke opacity testing shall be conducted using each fuel that the retrofitted vehicle is designed to operate on. The applicable peak smoke opacity standard shall be that set for the model year for which certification is sought.
 - (v) The selection of duty cycle(s) and all other aspects of the test procedure shall be subject to approval by the Executive Officer and emission testing shall commence only after the Executive Officer has approved the test plan. The Executive Order shall be issued following review of the test data and determination that they meet the criteria specified in the test plan.
- (e) Test Procedures for Vehicles in Category II Certified for Emission Reduction Credit:

Vehicles in Category II certified for emission reduction credit may use these provisions, or the alternate test plan as given in 5(h).

The procedures outlined in paragraphs 5(e) (i) and (ii) shall be used with the following modifications:

- (i) Certification for credit-generation purposes shall be conducted on the retrofitted engine system. Utilizing an engine dynamometer and not on the engine and vehicle combination. The test procedure used shall be the same procedure used to certify the engine family when new.
- (ii) Emissions for any pollutant shall not use the baseline rate by more than a factor of 1.10. Baseline emissions for pre- and post- retrofit comparison purposes will be the certification emission levels determined during the original engine family certification, except:
 - [A] The baseline for particulate matter (PM) emissions for diesel engines whose PM emission levels were not determined during the new engine family certification process shall be 0.6 gram/bhp-hr.
 - [B] Formaldehyde emissions from any 1993 and earlier model year engine operating on methanol- or ethanol-based fuel shall be at or below the 1993 model year standard. Formaldehyde emissions from any 1994 or subsequent model year engine

operating on methanol- or ethanol- based fuel shall be at or below the formaldehyde standard for that model year.

[C] For diesel engines, baseline carbon monoxide and hydro carbon emissions shall be the original emission certification value for the engine's model year.

[D] For engine families originally certified to a combined HC plus NOx standard, to baseline HC and NOx standards will be the combined standard or-pro-rated by the HC and NOx portions, respectively, of the original emission certification levels. If the original emission certification levels are not available, the HC and NOx baseline standards will be pro-rated by the HC and NOx certification standards of the next later model year with separate HC and NOx standards.

(iii) The "useful life" shall be 120,000 miles for vehicles in Category II, and 180,000 miles for vehicles in Category III. Deteriorated exhaust emissions projected to the useful life shall not exceed the baseline rate by more than a factor of 1.30, except for the pollutant(s) for which credits will be generated, which will not exceed the credit standard declared on the certification application as required under 4(c) (i). The deteriorated evaporative emissions, if any, projected to the useful life shall not exceed baseline emissions.

(f) Test Procedures for Vehicles in Category III Certified for Emission Reduction Credit:

Vehicles in Category III certified for emission reduction credit may use these provisions, or the alternate test plan as given in 5(h).

The procedures outlined in paragraphs 5(d) (i) through (v) shall be used with the modifications listed in 5(e) (i) through 5(e) (iii).

(g) Alternate Test Procedure for vehicles in Category I, or for Vehicles in Categories II or III Not Being Certified for Credit Generation Purposes:

The manufacturer shall submit data from durability testing conducted using test procedures used in new vehicle or engine certification. The deteriorated useful life emission levels shall meet the applicable emission standards for vehicles or engines of that model year and fuel type. The Executive Officer may certify the retrofit system based on review of the durability test data. If durability test data are not available, the manufacturer shall use the following procedures:

- (i) The retrofit manufacturer shall submit derived deterioration factors. The manufacturer shall submit test data that shows similar performance characteristics between the retrofitted vehicle or engine and the original equipment manufacturer vehicle or engine. The manufacturer shall submit test data showing component durability of the retrofit system. The manufacturer shall also submit a test plan describing the procedures that will be used to validate the derived deterioration factors within two years. The manufacturer derived deterioration factors and the test plan must be reviewed and approved by the Executive Officer.
 - (ii) The retrofit system shall be installed on the test vehicle or engine. Certification shall be conducted on the retrofitted vehicle or engine using the same test procedure used to certify the engine family when new. Deteriorated useful life emissions based on manufacturer derived deterioration factors shall meet the applicable new engine emission standards.
 - (iii) Following retrofit system certification, the manufacturer will conduct engine aging, either in-use or on a dynamometer, according to the specified test plan. Emissions testing shall be conducted on the aged retrofit vehicle or engine system using the same test procedure used to certify the engine family when new. Deteriorated useful life emissions based on durability testing shall meet the applicable new vehicle or engine emission standards for that vehicle model. The manufacturer shall submit test data to verify the derived deterioration factors within two years of certification of the retrofit system.
 - (iv) Vehicles in Category III must meet the requirements of 5(d) (iii) and 5(d) (iv).
- (h) Alternate Test Procedure for Vehicles in Category II or Category III Certified for Credit Generation Purposes:

The procedures outlined in 5(g) shall be used, with the following modifications:

- (i) The manufacturer shall also meet the requirements in 5(e) (i) and 5(e) (ii).
- (i) Deteriorated useful life emissions based on durability testing shall not exceed the original equipment manufacturer engine certification emissions level by more than a factor of 1.30, except for the pollutant(s) for which credits will be generated, which will not exceed the credit standard declared on the certification application as required under 4(c) (i). The deteriorated evaporative emissions, if any,

projected to the useful life, shall not exceed baseline emissions.

6. APPROVAL

(a) Issuance of Executive Orders:

If, after reviewing the test data and other information submitted by the retrofit system manufacturer, the Executive Officer determines that the retrofit system meets the applicable emission standards or the criteria of approved test plan, as applicable, an Executive Order shall be issued certifying the retrofit system for sale and installation on vehicles in the engine families specified in the application. The Executive Order shall specify, if applicable, that the retrofit system is certified as [A] converting a conventional motor vehicle into a TLEV, LEV or ULEV, [B] converting a TLEV into a LEV or ULEV, [C] converting a LEV into a ULEV or [D] for heavy-duty credit conversions, the applicable credit standard(s).

(b) Carry-Over and Carry-Across:

- (i) Carry-over of emission test data from the previous model year to the following model year will be allowed, if the Executive Officer determines that the carry-over data will adequately represent the emissions performance of the retrofit system to be certified. Carry-across to similar engine families will also be allowed.
- (ii) An original equipment manufacturer (OEM) that produces retrofit hardware which upgrades an old engine to the identical configuration of a newer engine family that the manufacturer also produces, may carry-across the newer engine family certification test data for the retrofit hardware certification process. (For these purposes, the term "identical" means that all engine parts on the retrofitted engine which affect emissions, such as pistons, cylinder heads, etc., must be of the same design and construction as those on the newer engine family. Engine calibration, including injection timing must also be identical.)
- (iii) Applications for carry-over and carry-across must be accompanied by an engineering analysis demonstrating that the emissions and durability of the retrofit system and engine family for which certification is being sought will be adequately represented by a certified retrofit system/engine family application.
- (iv) Applications for carry-over and carry-across will be evaluated according to the criteria contained in EPA Advisory Circular 17F, which is incorporated herein by reference, and paragraph 4.c.5 of the "California Exhaust Emission Standards and Test Procedures for 1988 and Subsequent Model Passenger Cars, Light-Duty Trucks, and

Medium-Duty Vehicles." These include, but are not limited to, similarity of catalyst location and configuration, similarity of fuel metering system, similarity of emission control system logic and design, and similarity of any other features that may affect the durability of the retrofit system's emission performance.

7. INSTALLATION REQUIREMENTS

- (a) Prior to releasing a converted vehicle to the consumer, the installer of an alternative fuel or credit-generating conventional fuel retrofit system shall submit the converted vehicle to a Bureau of Automotive Repair Referee Smog Check Station for inspection and testing except as provided in 7(b).
 - (i) The installer of an alternative fuel or credit-generating conventional fuel retrofit system shall keep a copy of the certificate of compliance, issued by the Bureau of Automotive Repair Referee Smog Check Station, as part of the record specified in paragraph 3(e). The certificate of compliance shall contain, but need not be limited to, the following: the vehicle's identification number, the vehicle's model year and make, the date of installation, and the emissions category to which the retrofitted system is certified (i.e., conventional vehicle, TLEV, LEV, or ULEV)_or, for heavy-duty vehicles, the credit standard to which the system is certified. The original certificate of compliance shall be submitted to the vehicle owner upon the vehicle's release.
 - (ii) The installer of an alternative fuel or credit-generating conventional fuel retrofit system shall not release the converted vehicle to the consumer without the issuance of a certificate of compliance for the vehicle by a Bureau of Automotive Repair Referee Smog Check Station.
 - (iii) The installer of an alternative fuel or credit-generating conventional fuel retrofit system shall also meet the requirements of paragraph 9(c).
- (b) The retrofit system installer may request Air Resources Board approval to use the alternative inspection schedule for fleet installation of the same retrofit kit on more than 10 vehicles with engines from similar engine families. If approval is granted the installer shall submit ten vehicles with engines from similar engine families retrofitted with the same kit to a Bureau of Automotive Repair Station as provided in 7(a) (i) and 7(a) (ii).
 - (i) If all ten vehicles receive a certificate of compliance, for subsequent applications of the same type, the Installer need only submit every tenth retrofitted vehicle to the Bureau of Automotive Repair pursuant to 7(a) (i) and 7(a) (ii) . For the remaining vehicles Included in the alternative inspection schedule that are not submitted to the Bureau of

Automotive Repair, the installer shall maintain a record of the vehicle's identification number, the vehicle's model year and make, the engine size, the manufacturer and fuel type of the retrofit kit, the date of installation, and the emissions category to which the retrofitted system is certified (i.e., conventional vehicle, TLEV, LEV, ULEV) or for heavy-duty vehicles, the credit standard to which the system is certified. The Air Resources Board may require random inspection of any vehicles subject to the alternate inspection schedule.

- (ii) If any of the ten vehicles fail to pass inspection, the next set of ten retrofitted vehicles shall be subject to inspection at the Bureau of Automotive Repair, until an entire group of 10 passes.

8. IN-USE ENFORCEMENT TEST REQUIREMENTS

- (a) Manufacturers of alternative fuel or credit-generating conventional fuel retrofit systems shall, upon order by the Executive Officer, perform in-use enforcement emission testing of their products. The Executive Officer may order in-use enforcement emission testing of not more than 20 percent of a manufacturer's certified retrofit systems/engine family applications per year. If 20 percent constitutes less than one of a manufacturer's certified systems, the Executive Officer may order in-use enforcement emission testing of not more than one certified system/engine family application per year. Manufacturers shall be required to perform emission testing of not less than ten vehicles per certified retrofit system/engine family application selected by the Executive Officer for in-use enforcement emission testing. Upon order by the Executive Officer, manufacturers shall perform the applicable emission tests pursuant to the following:
 - (i) No vehicle shall be accepted by the manufacturer as a representative vehicle for enforcement testing unless the following criteria are met:
 - (1) California certified and registered.
 - (2) Odometer indication of less than certified useful-life mileage and vehicle age within useful-life time period.
 - (3) No indication of abuse (e.g., racing, overloading, misfueling, or other misuse), neglect, improper maintenance or other factors that would have an effect on emission performance.
 - (4) No major repair to engine or major repair of vehicle resulting from collision.

- (5) Lead content of fuel sample from the vehicle tank meets applicable standards.
 - (6) No indication of any problem that might jeopardize the safety of laboratory personnel.
- (ii) The manufacturer shall, under ARB supervision, perform diagnosis or restorative maintenance on those vehicles selected for in-use enforcement testing. The manufacturer or a laboratory approved by the Executive Officer shall (1) identify part numbers of all essential emission control system components; (2) check air filter, all drive belts, all fluid levels, radiator cap, all vacuum hoses and electrical wiring related to emission control for integrity; check fuel metering and emission control system components for maladjustments and/or tampering, and record all discrepancies; (3) check ignition system with oscilloscope and replace any defective components; i.e., spark plugs, wires, etc.; (4) check compression; (5) check and adjust engine parameters to manufacturer's specifications; and (6) perform maintenance if the vehicle is within 500 miles of scheduled maintenance service.
- (iii) For vehicles in Category I. the manufacturer or a laboratory approved by the Executive Officer shall perform the applicable emission test procedures set forth in the "California Exhaust Emission Standards and Test Procedures for 1988 and Subsequent Model Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles." The applicable emission standards shall be the vehicle's useful life standards as well as any intermediate emission standards, as stated in the Executive Order.
- (iv) For vehicles in Category II not certified for credit generation, in-use enforcement exhaust and, if applicable, evaporative emissions shall be performed using the test procedures set forth in the "California Exhaust Emission Standards and Test Procedures for 1988 and Subsequent Model Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles". The inertia weight setting shall be equal to the average of the vehicle's curb weight and gross vehicle weight rating and road load horsepower based on the frontal area of the vehicle without modifications, as determined in "California Exhaust Emission Standards and Test Procedures for 1988 and Subsequent Model Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles," Section 9.b. For vehicles in Category III not certified for credit generation, in-use enforcement exhaust emission tests shall be performed in accordance with the test plan approved by the Executive Officer prior to certification testing of the engine family applications specified for in-use enforcement testing. For vehicles in Categories II

and III which are certified for credit generation. In-use enforcement testing will consist of repeating the procedures and requirements of paragraphs 5(e) and 5(f), respectively, except as provided for in paragraph 8(a) (viii), below.

- (v) The applicable exhaust emission standards for vehicles in Categories II and III shall be the baseline emission rates established during certification testing of the engine family applications specified for in-use enforcement testing times 1.3 except as provided in paragraphs 5(e) and 5(f) for credit generating systems. The applicable evaporative emission standards for vehicles in Categories II and III shall be the baseline emission rates established during certification testing of the engine family applications specified for in-use enforcement testing plus 0.5 grams except as provided in paragraphs 5(e) and 5(f) for credit generating systems.
 - (vi) Manufacturers shall complete in-use enforcement testing within 6 months of the issuance of the in-use compliance testing order and shall submit all test data to the Executive Officer within 30 calendar days following completion of testing.
 - (vii) Following review of manufacturer in-use enforcement test data, the Executive Officer may conduct confirmatory in-use enforcement testing.
 - (viii) OEM upgrade systems certified for credit-generation use as described in paragraph 6(b), shall be subject to the new engine family in-use testing requirements for the engine family on which the systems originally certified. Such systems will not be subject to the in-use enforcement testing requirements of these procedures.
- (b) If the results of the in-use vehicle emission tests conducted pursuant to paragraphs 8(a)(i) through 8(a)(viii) indicate that the average emissions of the test vehicles for any pollutant exceed the applicable emission standards or specified limits for credit generation certification, the entire vehicle population so represented shall be deemed to exceed such standards. Upon order by the Executive Officer, the manufacturer shall have 45 days to submit an influenced recall plan in accordance with Sections 2111 through 2121, Title 13, CCR. If no such recall plan is submitted, the Executive Officer may order corrective action including recall of the affected vehicles in accordance with Sections 2122 through 2135, Title 13, CCR. For the purpose of these Procedures, the term "manufacturer" as referenced in Sections 2111 through 2135, Title 13, CCR, shall mean "retrofit system manufacturer."

9. WARRANTY REQUIREMENTS

(a) Requirements of Manufacturers:

The manufacturer of an alternative fuel or credit-generating conventional fuel retrofit system shall warrant to the person having the vehicle retrofitted and to each subsequent purchaser of the vehicle that the alternative fuel or credit-generating conventional fuel retrofit system is designed and manufactured to conform with the applicable requirements of these Procedures and is free from defects in materials and workmanship which cause the alternative fuel or credit-generating conventional fuel retrofit system to fail to conform with the applicable requirements of these Procedures or cause damage to any part on the retrofitted vehicle. This warranty shall be effective for three years or 50,000 miles, whichever first occurs, of customer service, and shall cover the full repair or replacement costs including the costs of diagnosis, labor, and parts (including any part on the retrofitted vehicle that is damaged due to a defect in the alternative fuel or credit-generating conventional fuel retrofit system).

(b) Extended Warranty Requirements:

Each manufacturer of an alternative fuel or credit-generating conventional fuel retrofit system shall identify in its application for certification the warranted parts whose individual replacement cost, at the time of certification, exceeds the cost limit defined in paragraph 9(b)(i). The replacement cost shall include the cost of the diagnosis, parts, and labor. The costs shall be those of the highest cost metropolitan area of California. Each manufacturer shall warrant to the person having the vehicle retrofitted and to each subsequent purchaser of the vehicle that these parts identified in its application for certification as exceeding the cost limit defined in paragraph 9(b)(i) are free from defects in materials and workmanship which cause the alternative fuel or credit-generating conventional fuel retrofit system to fail to conform with the requirements of these Procedures or cause damage to any part on the retrofitted vehicle, for seven years or 70,000 miles, whichever first occurs.

(i) The cost limit shall be calculated using the following equation:

$$\text{Cost limit}_n = \$300 \times (\text{CPI}_{n-2}/121.9)$$

where:

Cost limit_n is the cost limit for the year in which the alternative fuel or credit-generating conventional fuel retrofit system is to be certified.

n is the year in which the alternative fuel or credit-generating conventional fuel retrofit system is to be certified.

CPI is the annual average consumer price index for California published by the United States Bureau of Labor Statistics.

- (ii) The cost shall be limit shall be revised annually by the Executive Officer. The highest cost metropolitan area in California shall be identified by the Executive Officer.
- (iii) Each manufacturer shall submit to the Executive Officer the documentation used to identify the warranted parts required in this subsection. The documentation shall include the estimated retail parts costs, labor rates in dollars per hour, and the labor hours necessary to replace the parts.

(c) Requirements of Installers:

Each installer of an alternative fuel or credit-generating conventional fuel retrofit system shall warrant to the person having the vehicle retrofitted and to each subsequent purchaser of the vehicle that the alternative fuel or credit-generating conventional fuel retrofit system will not fail to conform with the applicable requirements of these Procedures due to incorrect installation, and that no part on the retrofitted vehicle will be damaged due to incorrect installation. Installers of alternative fuel or credit-generating conventional fuel retrofit systems shall install only those systems of a certified configuration and shall agree to indemnify the person having the vehicle retrofitted and to each subsequent purchaser of the vehicle for the cost of repair of any vehicle upon which a noncertified configuration was installed. In addition, the installer shall agree to indemnify the person having the vehicle retrofitted and to each subsequent purchaser of the vehicle for any tampering fines that may be imposed as a result of improper installation of the alternative fuel or credit-generating conventional fuel retrofit system. The warranties and agreements to indemnify shall be effective for three years or 50,000 miles, whichever first occurs, of customer service, and shall cover the full repair or replacement casts including the casts of diagnosis, labor, and parts (including any part on the retrofitted vehicle that is damaged due to incorrect installation of the alternative fuel or credit-generating conventional fuel retrofit system).

Before an installer installs an alternative fuel or credit-generating conventional fuel retrofit system, he or she shall have submitted to the ARB a sample of the warranty statement to be provided by the installer in accordance with this paragraph.