

**State of California  
AIR RESOURCES BOARD**

**EXECUTIVE ORDER DE-09-003**

Pursuant to the authority vested in the Air Resources Board (ARB) by Health and Safety Code, Div. 26, Part 5, Chap. 2; and pursuant to the authority vested in the undersigned by Health and Safety Code section 39515 and 39616 and Executive Order G-02-003;

Relating to Exemptions under Section 27156 of the Vehicle Code, and Verification under Sections 2700 through 2710 of Title 13 of the California Code of Regulations

Donaldson Company, Incorporated  
Semi-Active Electric Filter (SEF) Muffler System

ARB has reviewed Donaldson Company, Incorporated's request for verification of the SEF Muffler System. Based on an evaluation of the data provided, and pursuant to the terms and conditions specified below, the Executive Officer of ARB hereby finds that the SEF Muffler System reduces emissions of diesel particulate matter (PM) consistent with a Level 3 Plus device (greater than or equal to an 85 percent reduction and meets the 2009 nitrogen dioxide emissions limit) (Title 13, California Code of Regulations (CCR), sections 2702 (f) and 2708). Accordingly, the Executive Officer determines that the system merits verification and, subject to the terms and conditions specified below, classifies the SEF Muffler System as a Level 3 Plus system for heavy-duty on-road vehicles using heavy-duty engines from the engine families listed in Attachment 1.

The aforementioned verification is subject to the following terms and conditions:

- The engine must be model year 1991 through 2006 and have an engine family name listed in Attachment 1.
- The engine must be certified for on-road applications.
- The engine must be certified to a PM emission level of at most 0.25 grams per brake horsepower hour (g/bhp-hr), and greater than 0.01 g/bhp-hr.
- The engine must be used by an on-road motor vehicle with a manufacturer's Gross Vehicle Weight Rating of over 14,000 pounds.
- The engine must be rated to no more than 350 horsepower.
- The engine must have a displacement of no more than 11.5 liters.
- The engine must not be certified by ARB as having exhaust gas recirculation.
- The engine must not have a pre-existing original equipment manufacturer (OEM) diesel particulate filter.
- The engine may or may not have a pre-existing OEM oxidation catalyst.
- The engine must remain in its original certified configuration, except that if an OEM oxidation catalyst is present, it may be removed if the SEF Muffler System is installed. Should the SEF Muffler System be removed, the OEM oxidation catalyst must be re-installed, returning the engine to its original certified configuration.
- The engine must be four-stroke.

- The engine may be turbocharged or naturally aspirated.
- The engine may be mechanically or electronically controlled.
- The engine must be well maintained and not consume lubricating oil at a rate greater than that specified by the engine manufacturer.
- Lube oil, or other oil, must not be mixed with the fuel.
- The product must not be operated with a fuel additive, as defined in Title 13, CCR, Section 2701, unless explicitly verified for use with the fuel additive.
- The product must not be used with any other systems or engine modifications without ARB and manufacturer approval.
- The other terms and conditions specified below.

IT IS ALSO ORDERED AND RESOLVED: That installation of the SEF Muffler System, manufactured by Donaldson Company, Incorporated of Post Office Box 1299, Minneapolis, Minnesota 55440-1299, has been found not to reduce the effectiveness of the applicable vehicle pollution control system, and therefore, the SEF Muffler System is exempt from the prohibitions in Section 27156 of the Vehicle Code for installation on heavy-duty on-road vehicles using engines listed in Attachment 1.

This exemption is only valid provided the engines meet the aforementioned conditions.

The SEF Muffler System consists of a diesel oxidation catalyst, heating element, uncatalyzed diesel particulate filter, and a backpressure monitor. The major components of the SEF Muffler System are identified in Attachment 2. Schematics of the approved product and engine labels are shown in Attachment 3.

This Executive Order is valid provided that installation instructions for the SEF Muffler System do not recommend tuning the vehicle to specifications different from those of the vehicle manufacturer.

Prior to sale of an SEF Muffler System, Donaldson Company Incorporated must provide each prospective owner of the SEF Muffler System with an estimate of the number of hours of vehicle operation that will typically elapse before regeneration is required.

Prior to sale of an SEF Muffler System, Donaldson Company Incorporated must provide each prospective owner/purchaser of the SEF Muffler System with a written estimate of the number of hours of vehicle operation that will typically elapse before regeneration is required. Donaldson Company Incorporated must also provide, in writing, the length of time of a typical regeneration event.

Changes made to the design or operating conditions of the SEF Muffler System, as exempted by ARB, which adversely affect the performance of the vehicle's pollution control system shall invalidate this Executive Order.

No changes are permitted to the device. The ARB must be notified in writing of any changes to any part of the SEF Muffler System. Any changes to the device must be evaluated and approved by ARB. Failure to do so shall invalidate this Executive Order.

Marketing of the SEF Muffler System using identification other than that shown in this Executive Order or for an application other than that listed in this Executive Order shall be prohibited unless prior written approval is obtained from ARB.

Identification must include both device and engine labels consistent with the requirements of the procedure (section 2706) and attachment 3 of this Executive Order. Changes or modifications to the label or label placement are prohibited without prior written approval from ARB.

This Executive Order shall not apply to any SEF Muffler System advertised, offered for sale, sold with, or installed on a motor vehicle prior to or concurrent with transfer to an ultimate purchaser.

As specified in the Diesel Emission Control Strategy Verification Procedure (Title 13, CCR, section 2706 (i)), the ARB assigns each Diesel Emission Control Strategy a family name. The designated family name for the verification as outlined above is:

**CA/DON/2009/PM3+/N00/ON/DPF01.**

As stated in the Procedure, Donaldson Company Incorporated is responsible for honoring the warranty for the SEF System and the regeneration station associated with it (section 2707), record keeping requirements (section 2702), and conducting in-use compliance testing (section 2709).

This Executive Order is valid provided that the diesel fuel used in conjunction with the device complies with Title 13, CCR, sections 2281 and 2282, and if biodiesel is used, the biodiesel blend shall be 20 percent or less subject to the following conditions:

- The biodiesel portion of the blend complies with the American Society for Testing and Materials specification D6751 applicable for 15 parts per million sulfur content, and
- The diesel fuel portion of the blend complies with Title 13, CCR, sections 2281 and 2282.

Other alternative diesel fuels such as, but not limited to, ethanol diesel blends and water emulsified diesel fuel are excluded from this Executive Order.

In addition to the foregoing, ARB reserves the right in the future to review this Executive Order and the exemption and verification provided herein to assure that the exempted and verified add-on or modified part continues to meet the standards and procedures of Title 13 CCR, Section 2222, et seq and Title 13 CCR, Sections 2700 through 2710.

Systems verified under this Executive Order shall conform to all applicable California emissions regulations.

This Executive Order does not release Donaldson Company Incorporated from complying with all other applicable regulations.

Violation of any of the above conditions shall be grounds for revocation of this Executive Order.

Executed at El Monte, California, and effective this 6<sup>th</sup> day of April 2009.

/s/

Robert H. Cross, Chief  
Mobile Source Control Division

Attachment 1: ARB Approved Engine Families for the SEF Muffler System  
Attachment 2: Parts List for the SEF Muffler System  
Attachment 3: Label for the SEF Muffler System