

Proposition 1B: Goods Movement Emission Reduction Program
DECEMBER 2014 SEMI-ANNUAL STATUS REPORT

This status report provides an update on implementation of the \$1 billion Proposition 1B: Goods Movement Emission Reduction Program (Program) to reduce emissions and health risk from freight operations in California's priority trade corridors through incentives. Consistent with State law, the Program Guidelines for Implementation (Guidelines) and related documents detail the grant/contract requirements for the Air Resources Board (ARB or Board), participating local agencies, and equipment owners (see Program website at: <http://www.arb.ca.gov/gmbond>).

ARB adopts the Guidelines, and then solicits, awards, funds, and oversees grants to local agencies like air districts and seaports. The local agencies offer grants or contracts in a competitive process to diesel equipment owners to co-fund the upgrade of diesel equipment to cleaner technologies, ahead of or beyond any regulatory requirements to do so. To ensure accountability and effective use of these public funds, the local agencies: solicit for eligible projects, review applications, inspect the old equipment, provide data to competitively rank each piece of equipment based on emission reductions and cost-effectiveness, sign grants or contracts with equipment owners, inspect the upgraded equipment, make payment for the cleaner technology, and track/report on funded projects.

The information in this report is based on the local agencies' semi-annual reports as of September 30, 2014, and supplemented with information in the Program's Goods Movement Online Database. The information is reported by year of funding, as defined below.

- Year 1: Awarded May 2008 Board Hearing
- Year 2: Awarded June 2010 and December 2011 Board Hearings
- Year 3: Awarded December 2011 Board Hearing - drayage truck projects only
- Year 4: Awarded July 2013 Board Hearing

Available Funding and Expenditures

Each budget appropriation authorizes ARB to use a specific amount of funding for this Program, within statutory timeframes. However, the appropriation does not provide any cash for this purpose. Therefore, we depend on the receipt of proceeds from State bond sales or other mechanisms to fund new projects.

The entire \$1 billion has been appropriated in State budgets (over multiple years), with \$980 million to ARB for this Program and \$20 million set aside by the control agencies to cover bond issuance and oversight costs. The final appropriation of \$240 million was approved in the Fiscal Year (FY) 2014-15 budget. In 2015, ARB will update the Guidelines, release a Notice of Funding Availability, and award the funds. The local agencies are expected to hold solicitations in Spring/Summer 2015 timeframe.

ARB has allocated approximately \$740 million for local agency projects and ARB's administration costs over multiple years, and has paid about \$719 million through September 2014.

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Project Results and Benefits

The Program bond monies are leveraging substantial match funding from private, local, and federal sources – more than one match dollar for every Program dollar invested.

Trucks. The local agencies have completed the truck projects from Year 1 through Year 3 with more than 9,500 cleaner trucks operating in the four priority trade corridors. The local agencies are in the process of implementing the Year 4 projects and expect about 4,000 trucks to be upgraded with cleaner equipment, with over 700 projects being operational through September 2014.

Ships at Berth. The Bay Area District's early grant project to install grid-based electrical power for three berths at the Port of Oakland was completed in 2011. Under Year 2 grant projects, the installation of electrical power was completed in 2013 for nine berths at the Port of Oakland and 25 berths at the Ports of Long Beach, Los Angeles, and Hueneme. Ships began to plug into the grid in early 2014.

Locomotives and Commercial Harbor Craft. The Sacramento and South Coast Districts completed the upgrade of 19 locomotives operating in the Central Valley and the Los Angeles/Inland Empire trade corridors. Another six locomotives operating in the latter trade corridor are expected to be upgraded by the end of 2014. In addition, the San Diego District's harbor craft project was completed and operational in 2013, and they are in the process of signing contracts to upgrade two more.

Benefits. When fully implemented, we expect projects included in this report will reduce over 4.4 million pounds or 2,200 tons of particulate matter (PM 2.5), plus over 170 million pounds or 85,000 tons of nitrogen oxides (NOx). Emission reductions for projects funded through 2013 are over the life of their grant term (e.g., 2 to 5 years for trucks and 10 years for ships at berth). Emission reductions for the Year 4 grants take into consideration ARB's regulations and include only the portion of the emission reductions that are early or extra to these regulations.

Implementation of Funds

Year 4. On July 25, 2013, the Board awarded approximately \$150 million, of which \$143 million was allocated for truck projects throughout the State, including \$5.3 million for loan assistance. In addition, \$6 million was allocated towards a truck filter substrate replacement program and nearly \$1 million for harbor craft projects. ARB anticipated reallocating the \$5.3 million loan assistance funds due to initial project demand; however, due to project fallout the funds are not needed. ARB will reallocate these funds in 2015, consistent with the priorities and procedures in the Guidelines. ARB anticipates the truck filter substrate replacement program to begin in early 2015.

Additionally, Year 4 funds include monies not utilized from the Year 2 grants due to project fallout. Local agencies requested that these funds be made available to fund

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projects from the 2013 Truck Solicitations and ARB approved these requests consistent with the priorities and procedures in the Guidelines.

Local agencies held solicitations for truck projects beginning August 2013 through early October 2013 and a subsequent backup truck solicitation prioritizing small fleets between early October 2013 and early December 2013 (2013 Truck Solicitations). The local agencies received applications for over 5,000 projects in excess of \$200 million with half of the applications submitted by small fleets. Due to project fallout, they anticipate approximately 4,000 trucks to be upgraded. Over 700 trucks are operational with the majority of trucks expected to be operational by the end of 2014. The remaining trucks will be upgraded by early to mid-2015.

Year 2 and Year 3. ARB received funds for these projects in 2010 and 2011 from multiple bond sales. In June 2010, the Board awarded \$200 million in available cash primarily for the categories of truck projects and ships at berth/cargo handling equipment projects, with smaller grants for locomotive and harbor craft projects.

In December 2011, the Board awarded approximately \$101 million from the Fall 2011 bond sale and also allocated potential proceeds from a Spring 2012 bond sale; all of these funds were allocated for truck projects including a set aside of \$66.6 million for drayage trucks (Year 3 projects). Funds not utilized for drayage trucks were reallocated for Year 2 truck projects in accordance with the December 2011 Board approval. ARB received approximately \$15 million from the Spring bond sale and also reallocated \$6 million in ARB administration funds to complete the Year 2 truck projects.

All projects are complete except for the locomotive projects which are expected to be operational by the end of 2014.

Year 1. The first year funds of approximately \$246 million were used for projects to upgrade trucks, locomotives, and ships at berth. All of these projects were suspended for 6 to 14 months due to the December 2008 “stop work” order on bond funded programs, which resulted in an extended delay from time of application to contract. This led to some funds being unused by the local agencies; grant agreements were amended to transfer funds from undersubscribed grants to oversubscribed grants. All projects are complete.

Project Status

The tables on the following pages present the information by trade corridor (Table 1), source category (Table 2), and by grant agreement (Tables 3 through 8).

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TABLE 1 PROJECTS BY TRADE CORRIDOR

Trade Corridor (Local Agency)		Award	PM 2.5 (lbs)	NOx (lbs)	Operational Projects	Projects in Process
Los Angeles/Inland Empire	South Coast Air Quality Management District	\$385,631,095	2,169,000	98,859,000	3,192 other trucks 1,601 drayage trucks 25 ships at berth 4 locomotives	1,905 other trucks 6 locomotives
	Port of Long Beach	\$3,550,000	57,000	609,000	67 drayage trucks	NA
Central Valley	San Joaquin Valley Air Pollution Control District	\$144,916,231	1,210,000	39,223,000	2,218 other trucks	723 other trucks
	Sacramento Metropolitan Air Quality Management District	\$31,508,368	370,000	6,765,000	393 other trucks 15 locomotives	82 other trucks
Bay Area	Bay Area Air Quality Management District	\$90,446,624	472,000	19,073,000	647 other trucks 1,449 drayage trucks 12 ships at berth	320 other trucks
San Diego/Border	San Diego Air Pollution Control District	\$28,127,061	122,000	3,532,000	378 other trucks 98 drayage trucks 1 harbor craft	134 other trucks 2 harbor craft
	Imperial County Air Pollution Control District	\$17,114,391	87,000	3,093,000	251 other trucks	121 other trucks
Statewide - Loan Assistance ¹		\$10,300,000				
Statewide - Truck Filter Substrate Replacement Program		\$6,300,000				
ARB Administration		\$21,400,000				
TOTAL		\$739.3 million	4,487,000 lbs or 2,244 tons	171,154,000 lbs or 85,577 tons	7,079 other trucks 3,215 drayage trucks 37 ships at berth 1 harbor craft 19 locomotives	3,285 other trucks 2 harbor craft 6 locomotives

¹The \$5.3 million awarded at the July 2013 Board Meeting is not needed due to project fallout; ARB will reallocate the funds in 2015.

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TABLE 2 PROJECTS BY SOURCE CATEGORY

Funding Category	Award	PM 2.5 (lbs)	NOx (lbs)	Operational Projects	Projects in Process
Other Trucks	\$485,029,178	2,831,000	118,794,000	7,079	3,285
Drayage Trucks	\$114,928,891	871,000	18,695,000	3,215	NA
Ships at Berth	\$82,395,415	459,000	29,283,000	37	NA
Harbor Craft	\$915,286	3,000	43,000	1	2
Locomotives	\$18,025,000	323,000	4,339,000	19	6
ARB Loan Assistance ¹	\$10,300,000				
ARB Truck Filter Substrate Replacement Program	\$6,300,000				
ARB Administration	\$21,400,000				
TOTAL	\$739.3 million	4,487,000 lbs or 2,244 tons	171,154,000 lbs or 85,577 tons	7,079 trucks 3,215 drayage trucks 37 ships at berth 1 harbor craft 19 locomotives	3,285 trucks 2 harbor craft 6 locomotives

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TABLE 3 LOS ANGELES/INLAND EMPIRE TRADE CORRIDOR – South Coast AQMD

Funding Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 4					
Other Trucks	Replace old dirty trucks with newer clean models. 13GML01/G11GMLT1	\$96,275,784	151,000	33,588,000	District has signed contracts to upgrade 2,079 trucks. 307 trucks have been scrapped and replaced with much cleaner trucks.
Year 3					
Priority Drayage Trucks	Replace old dirty trucks with newer clean models serving ports and railyards. G11GMLP1	\$3,302,250	1,000	973,000	Grant complete. 105 old trucks have been scrapped and replaced with much cleaner trucks.
Year 2					
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G11GMLT1/G08GMLT1	\$90,363,736	478,000	14,171,000	Grant complete. 1,513 trucks have been scrapped and replaced with much cleaner trucks. 385 trucks have been retrofitted with soot filters.
Ships at Berth	Eliminate or reduce emissions from ships at berth. G08GMLS1	\$59,973,125	343,000	21,841,000	District is in the process of completing the grant. Construction and installation of shore power equipment for 25 berths (12 for Port of Long Beach, 10 for Port of Los Angeles, 3 for Port of Hueneme) is complete with ships plugging into the grid starting in January 2014.
Locomotives	Replace old dirty locomotives with newer clean models. G08GMLL1	\$4,635,000	27,000	315,000	District has signed a contract to upgrade 6 locomotives and expects the projects to be operational by December 2014.

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TABLE 3 (continued) LOS ANGELES/INLAND EMPIRE TRADE CORRIDOR – South Coast AQMD

Funding Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 1					
Drayage Trucks	Replace old dirty trucks serving the Ports of Los Angeles and Long Beach with newer clean models. G07GMLP1	\$6,930,000	66,000	1,104,000	Grant complete. 132 old trucks have been scrapped and replaced with much cleaner trucks.
	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models serving the rail yards. G07GMLP2	\$2,625,000	31,000	577,000	Grant complete. 50 old trucks have been scrapped and replaced with much cleaner trucks. 2 trucks have been retrofitted with soot filters.
	Replace old dirty trucks serving the Ports of Los Angeles and Long Beach with newer clean models. G07GMLP3-03	\$68,539,800	511,000	10,177,000	Grant complete. 1,312 old trucks have been scrapped and replaced with much cleaner trucks.
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G07GMLT1	\$6,877,500	96,000	1,638,000	Grant complete. 131 old trucks have been scrapped and replaced with much cleaner trucks.
	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G07GMLT2	\$43,018,900	440,000	13,295,000	Grant complete. 823 old trucks have been scrapped and replaced with much cleaner trucks. 33 trucks have been retrofitted with soot filters.
Locomotives	Replace old dirty locomotives at rail yards with newer clean models. G07GMLL1	\$3,090,000	25,000	1,180,000	Grant complete. 4 locomotives have been repowered with much cleaner engines.

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TABLE 3 (continued) LOS ANGELES/INLAND EMPIRE TRADE CORRIDOR – Port of Long Beach

Funding Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
<i>Year 1</i>					
Drayage Trucks	Replace old dirty trucks serving the Ports of Los Angeles and Long Beach with newer clean models. G07GMLP3	\$3,550,000	57,000	609,000	Grant complete. 67 old trucks have been scrapped and replaced with much cleaner trucks.
Corridor Total		\$389,181,095	2,226,000	99,468,000	

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TABLE 4 **CENTRAL VALLEY TRADE CORRIDOR – San Joaquín Valley APCD**

Funding Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 4					
Other Trucks	Replace old dirty trucks with newer clean models. 13GMC01/G11GMCT1	\$39,519,385	51,000	9,183,000	District has signed contracts to upgrade 515 trucks. 151 trucks have been scrapped and replaced with much cleaner trucks.
Year 2					
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G11GMCT1/G08GMCT1	\$59,689,926	495,000	14,357,000	Grant complete. 1,058 old trucks have been scrapped and replaced with much cleaner trucks. 105 trucks have been retrofitted with soot filters.
Year 1					
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G07GMCT1	\$4,882,500	104,000	1,364,000	Grant complete. 93 old trucks have been scrapped and replaced with much cleaner trucks. 10 trucks have been retrofitted with soot filters.
	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G07GMCT3	\$40,824,420	560,000	14,319,000	Grant complete. 789 old trucks have been scrapped and replaced with much cleaner trucks. 12 trucks have been retrofitted with soot filters.

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TABLE 4 (continued) CENTRAL VALLEY TRADE CORRIDOR – Sacramento Metropolitan AQMD

Funding Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 4					
Other Trucks	Replace old dirty trucks with newer clean models. 13GMC02/G11GMCT2	\$8,054,846	15,000	1,310,000	District has signed contracts to upgrade 159 trucks. 102 trucks have been scrapped and replaced with much cleaner trucks.
Year 2					
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G11GMCT2/G08GMCT2	\$8,409,901	43,000	1,568,000	Grant complete. 178 old trucks have been scrapped and replaced with much cleaner trucks. 15 trucks have been retrofitted with soot filters.
Year 1					
Other Trucks	Replace old dirty trucks with newer clean models. G07GMCT2	\$102,847	1,000	27,000	Grant complete. 2 old trucks have been scrapped and replaced with much cleaner trucks.
	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G07GMCT4	\$4,640,774	40,000	1,016,000	Grant complete. 96 old trucks have been scrapped and replaced with much cleaner trucks.
Locomotives	Replace old dirty long-haul locomotives with new clean models. G07GMCL1	\$10,300,000	271,000	2,844,000	Grant complete. 15 locomotives have been repowered with much cleaner engines and are expected to routinely travel between the Central Valley and the Los Angeles/Inland Empire trade corridors.
Corridor Total		\$176,424,599	1,580,000	45,988,000	

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TABLE 5 BAY AREA CORRIDOR – Bay Area AQMD

Funding Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 4					
Other Trucks	Replace old dirty trucks with newer clean models. 13GMB01/G11GMBT1	\$14,545,593	16,000	2,506,000	District has signed contracts to upgrade 213 trucks. 60 trucks have been scrapped and replaced with much cleaner trucks.
Year 3					
Priority Drayage Trucks	Replace old dirty trucks with newer clean models serving ports and railyards. G11GMBP1	\$10,311,000	1,000	2,678,000	Grant complete. 357 old trucks have been scrapped and replaced with much cleaner trucks.
Year 2					
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G11GMBT1/G08GMBT1	\$18,178,650	84,000	2,580,000	Grant complete. 348 old trucks have been scrapped and replaced with much cleaner trucks. 28 trucks have been retrofitted with soot filters.
Ships at Berth	Eliminate or reduce emissions from ships at berth and/or cargo equipment at ports and intermodal railyards. G08GMBS1	\$20,000,000	98,000	6,278,000	District is in the process of completing the grant. Construction and installation of shore power equipment for 9 berths at the Port of Oakland is complete with ships plugging into the grid starting in January 2014.

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TABLE 5 (continued) BAY AREA CORRIDOR – Bay Area AQMD

Funding Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 1					
Drayage Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G07GMBP1	\$14,526,891	175,000	1,897,000	Grant complete. 203 old trucks have been scrapped and replaced with much cleaner trucks. 889 trucks have been retrofitted with soot filters.
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G07GMBT1	\$10,462,200	80,000	1,970,000	Grant complete. 198 old trucks have been scrapped and replaced with much cleaner trucks. 13 trucks have been retrofitted with soot filters.
Ships at Berth	Install grid-based shoreside electrical power at 3 berths at the Port of Oakland so ships can plug in and turn off their engines while docked. G07GMBS1	\$2,422,290	18,000	1,164,000	Grant complete. Shore power has been installed at 3 ship berths.
Locomotives	Replace old dirty locomotives at rail yards with newer clean models. G07GMBL1	\$0	0	0	Grant terminated and funds transferred to the existing drayage truck grant G07GMBP1, at the District's request.
Corridor Total		\$90,446,624	472,000	19,073,000	

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TABLE 6 SAN DIEGO/BORDER TRADE CORRIDOR – San Diego APCD

Funding Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 4					
Other Trucks	Replace old dirty trucks with newer clean models. 13GMS01	\$9,011,061	9,000	647,000	District has signed contracts to upgrade 149 trucks. 54 trucks have been scrapped and replaced with much cleaner trucks.
Commercial Harbor Craft	Replace old dirty engines in harbor craft with newer clean engines. 13GMS01	\$800,000	2,000	32,000	District is in the process of signing contracts with equipment owners to upgrade 2 harbor craft vessels.
Year 2					
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G11GMST1/G08GMST2	\$11,376,764	69,000	1,830,000	Grant complete. 222 old trucks have been scrapped and replaced with much cleaner trucks. 70 trucks have been retrofitted with soot filters.
Commercial Harbor Craft	Replace old dirty engines in harbor craft with newer clean engines. G08GMSH1	\$115,286	1,000	11,000	Grant complete. 1 harbor craft vessel has been upgraded.

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TABLE 6 (continued) SAN DIEGO/BORDER TRADE CORRIDOR – San Diego APCD

Funding Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
<i>Year 1</i>					
Drayage Trucks	Retrofit or replace trucks serving the Port of San Diego. G07GMSP1	\$0	0	0	Grant terminated and funds transferred to the drayage truck grant G07GMSP2, at the District's request.
	Replace old dirty trucks serving the Port of San Diego with newer clean models. G07GMSP2	\$5,143,950	29,000	680,000	Grant complete. 98 old trucks have been scrapped and replaced with much cleaner trucks.
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G07GMST2	\$1,680,000	12,000	332,000	Grant complete. 32 old trucks have been scrapped and

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TABLE 6 (continued) SAN DIEGO/BORDER TRADE CORRIDOR – Imperial County APCD

Funding Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 4					
Other Trucks	Replace old dirty trucks with newer clean models. 13GMS02/G11GMST2	\$6,611,061	6,000	1,099,000	District has signed contracts to upgrade 61 trucks. 29 trucks have been scrapped and replaced with much cleaner trucks.
Year 2					
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G11GMST2/G08GMST1	\$7,929,531	60,000	1,561,000	Grant complete. 147 old trucks have been scrapped and replaced with much cleaner trucks. 24 trucks have been retrofitted with soot filters.
Year 1					
Other Trucks	Retrofit trucks with soot filters and replace old dirty trucks with newer clean models. G07GMST3	\$2,573,799	21,000	433,000	Grant complete. 51 old trucks have been scrapped and replaced with much cleaner trucks.

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TABLE 6 (continued) SAN DIEGO/BORDER TRADE CORRIDOR – Port of San Diego

Fiscal Year/ Category	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 1					
Ships at Berth	Install grid-based shore power at the Port of San Diego. G07GMSS1	\$0	0	0	Grant terminated and funds transferred to the San Diego District's drayage truck grant G07GMSP2, at the Port's request.
Corridor Total		\$45,241,452	209,000	6,625,000	

TABLE 7 STATE AGENCY – LOAN ASSISTANCE – Trucks Only

State Agency	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 4					
ARB	Loan assistance to replace old dirty trucks with newer clean models.	\$5,300,000	TBD	TBD	ARB anticipated reallocating the funds to grants due to the initial demand from the 2013 Truck Solicitations. However, these funds will not be needed due to project fallout. ARB intends to reallocate these funds in 2015, as per statute and Guidelines.
Year 3					
ARB	Loan assistance to replace old dirty trucks with newer clean models serving ports and railyards.	\$5,000,000	Included in the Bay Area and South Coast Districts' FY2011-12 Priority Drayage Reserve Grants.		Loan assistance to help replace drayage trucks funded under the priority drayage reserve through the South Coast and Bay Area Districts. Loan assistance is improved access to financing through the California Capital Access Program with funds used for a loan loss reserve account if a truck owner defaults on their loan. 136 trucks projects have utilized the loan assistance program.

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TABLE 8 MULTI-CORRIDOR TRUCK PROGRAMS

State Agency	Project Description	Grant Amount	Emission Reductions (pounds)		Current Project Status
			PM 2.5	NOx	
Year 4					
ARB	Truck filter substrate replacement	\$6,300,000	TBD	TBD	ARB will finalize the requirements to implement the program and will be working with a local agency to administer the program in 2015.